

Leveraging our Asian DNA to capitalize on global opportunities

ASIAN CENTER, GLOBAL PRESENCE



📍 Investment and Sales & Client Service Office 📍 Sales & Client Service Office • Joint Venture

Eastspring Overview

One of the largest asset managers based in Asia, with USD 220 billion¹ under management.

Founded in 1994, headquartered in Singapore, with investment professionals throughout Asia and mainland China.

Institutional and retail client base across Asia, Europe and the Americas.

High Conviction, Active Value, Quality Growth and Quantitative Equities; Asian/EM Credit, and Sustainable (ESG) Investments.

Environmental, Social and Governance (ESG) incorporated across investment platforms. Signatory to the UN-Supported Principles of Responsible Investment.

Institutional Investment Capabilities

- ▶ Asian IG and HY Fixed Income
- ▶ Emerging Markets Debt
- ▶ Global/Asian Low Volatility
- ▶ China A-Shares Equity
- ▶ Emerging Markets Equity
- ▶ Japan Concentrated Equity
- ▶ Customized Solutions
- ▶ China Bonds

Why Eastspring?

Pursuit of alpha through a differentiated, Asian lens.

History of operating in some of the most diverse, illiquid and inefficient markets in the world positions us well for emerging and developed markets investments.

Alignment of interests and history of investing alongside our clients.

Commitment of seed capital to innovate new strategies and willingness to customize solutions for clients.

Risk management is embedded in our DNA with a long history of managing liability-linked assets.

ONE OF THE LARGEST INVESTMENT TEAMS IN ASIA

11 MAJOR ASIAN MARKETS

including a Wholly Foreign-Owned Enterprise ("WFOE") based in Shanghai



RESEARCH DEPTH AND EDGE

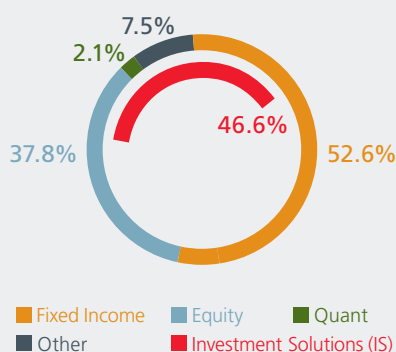
300+

INVESTMENT PROFESSIONALS



ASSET BREAKDOWN

USD 220 BN¹



DIVERSITY OF OUR PEOPLE AND PERSPECTIVES

36% OF SENIOR MANAGEMENT ARE WOMEN

34 LANGUAGES

50% OF TOP TALENT ARE WOMEN

29 NATIONALITIES



Global AUM, includes Joint Venture and Local Business Unit assets.

Investment capabilities overview

EQUITIES	FIXED INCOME	INVESTMENT SOLUTIONS	QUANTITATIVE STRATEGIES
AUM of USD 23bn	AUM of USD 59bn	AUM of USD 102bn*	AUM of USD 3.4bn
Key capabilities	Key capabilities	Key capabilities	Key capabilities
<ul style="list-style-type: none">▶ GEM▶ Japan▶ China A▶ Greater China▶ Asia ex – Japan	<ul style="list-style-type: none">▶ EM Debt▶ Asian HY▶ Asia Bond▶ Bank Loans▶ China Bonds	<ul style="list-style-type: none">▶ Multi Asset Solutions	<ul style="list-style-type: none">▶ Global Low Volatility▶ Asian Low Volatility▶ Multi-Factor
35 Investment professionals	21 Investment professionals	16 Investment professionals	8 Investment professionals
19 Average years of experience	17 Average years of experience	13 Average years of experience	14 Average years of experience

Investment capabilities overview: Singapore managed AUM.

For more information on Eastspring Investments, please visit: eastspring.com/us



Matthew Appelstein CFA, CPA
Head of Americas, Eastspring Investments, Inc.
E: matthew.Appelstein@eastspring.com
C: 617-319-2345 | T: 312-730-9540



Brian Manders
Manager, Client Services and Sales Support, Eastspring Investments, Inc.
E: brian.manders@eastspring.com
C: 414-736-0245 | T: 312-730-9529



*As at 30 June 2020. bn = billion. AUM for investment teams are internally calculated and includes advisory mandates. There might be double counting of assets within the individual investment teams. The figures above do not reflect joint venture assets under management. *Including sub-funds managed by other teams and investments into Eastspring SICAV funds. Eastspring Investments provides investment management services to institutional clients and manages mutual funds, and pooled vehicles that are offered to investors in multiple jurisdictions. Eastspring Investments manages and offers a wide range of funds to meet the diverse needs of its investors and provides investment advisory services to affiliated companies and third party institutional clients globally. Eastspring Investments client service offerings in the United States include investment advisory services to separately managed accounts and collective investment vehicles for US institutional clients. Additional information about Eastspring Investments is available on the SEC's website at www.adviserinfo.sec.gov. This report is provided for informational purposes only and is not intended to be, and should not be construed as, an offer, solicitation or recommendation with respect to any transaction.